

Form PTO-1390
P21938.P12

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

1014 Rec'd PTO

11 JUN 2002

ATTORNEY'S DOCKET NUMBER

P21938

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/030,177

INTERNATIONAL APPLICATION NO.

PCT/DE00/02659

INTERNATIONAL FILING DATE

02 August 2000

PRIORITY DATE CLAIMED

03 August 1999

TITLE OF INVENTION

METHOD AND DEVICE FOR REMOVING RECOVERABLE WASTE PRODUCTS AND NON-RECOVERABLE WASTE PRODUCTS

APPLICANT(S) FOR DO/EO/US

Harald MARTIN and Hartwig STREITENBERGER

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information.

1. ☐ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).
4. ☐ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3))
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
"Executed"
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (U.S.C. 371(c)(5)).

Items 11 to 16 below concern other document(s) or information included:

11. Assignee: _____
12. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
13. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
14. ☒ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ Figure of Drawing to be published _____
18. ☒ Other items or information:
 - Response to Notification of Missing Requirements and Notification of Defective Oath or Declaration.
 - Cover Letter.
 - Copy Executed Declaration
 - Copy of an English Translation of the International Application as filed (including 12 pages of the specification)
 - Copy of Check 40622
 - Copy of Date-Stamped filing receipt of the above listed materials.
 - Three sheets of Modified Sheet.
 - Copy of PCT/DO/EO/905

RECEIVED
AUG 05 2002
TC 1700

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19. ☒ The following fees are submitted:

Basic National Fee (37 CFR 1.492(a)(1)-(5)):

Search report has been prepared by the EPO or JPO. \$ 890.00

International preliminary examination fee paid to USPTO (37 CFR 1.482). \$ 710.00

No international preliminary examination fee paid to USPTO (37 CFR 1.482) but
international search fee paid to USPTO (37 CFR 1.445(a)(2)). \$ 740.00

Neither international preliminary examination fee (37 CFR 1.482) nor
international search fee (37 CFR 1.445(a)(2)) paid to USPTO. \$1,040.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) and all
claims satisfied provisions of PCT Article 33(2)-(4). \$ 100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

CALCULATIONS PTO USE ONLY

\$0.00

Surcharge of \$130.00 for furnishing the oath or declaration later than 20 ☒ 30
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$0.00

Claims	Number Filed	Number Extra	RATE
Total Claims	32 - 20 =	12	X \$18.00
Independent Claims	2 - 3 =	0	X \$84.00
Multiple dependent claim(s) (if applicable)			+ \$280.00

\$0.00

TOTAL OF ABOVE CALCULATIONS =

\$0.00

 Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced
by 1/2.

\$0.00

SUBTOTAL =

\$0.00

Processing fee of \$130.00 for furnishing the English translation later than 20 ☒ 30
months from the earliest claimed priority date (37 CFR 1.492(f)).

+

\$0.00

Extension of Time fee in the amount of \$

0.00

TOTAL NATIONAL FEE =

\$0.00

Fee for recording the enclosed assignment (37 CFR 1.21(h). The assignment must be
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

+

\$0.00

TOTAL FEES ENCLOSED =

\$0.00

Amount to be
refunded \$

Charged \$

a. N/A A check in the amount of \$ to cover the above fees is enclosed.

b. Please charge my Deposit Account No. in the amount of \$ to cover the above fees.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to
Deposit Account No. 19-0089.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.127(a) or (b)) must be filed
and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO CUSTOMER NO. 7055

AT THE PRESENT ADDRESS OF:

Neil F. Greenblum
GREENBLUM & BERNSTEIN, P.L.C.
1941 Roland Clarke Place
Reston, VA 20191
(703) 716-1191

SIGNATURE

Neil F. Greenblum

NAME

28,394

REGISTRATION NUMBER



J004 Res'd PCT/PTO 11 JUN 2002

PC7

P21938.P09

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Harald MARTIN et al.

Attn:

Application No. : 10/030,177

I.A. Filed : August 2, 2000

For : METHOD AND DEVICE FOR REMOVING RECOVERABLE
WASTEPRODUCTS AND NON-RECOVERABLE WASTE
PRODUCTS

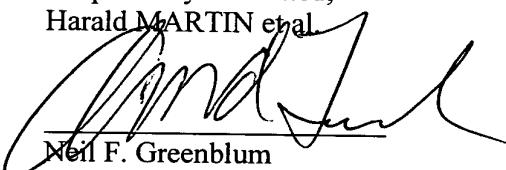
STATEMENT OF SMALL ENTITY STATUS

Commissioner of Patents and Trademarks
Washington, D.C. 20231

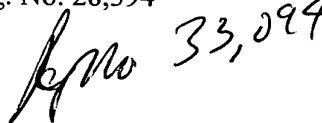
Sir:

In accordance with 37 C.F.R. 1.27, Applicant(s) in the above-identified application
hereby request(s) status as a small entity for purposes of paying fees.

Respectfully submitted,
Harald MARTIN et al.


Neil F. Greenblum
Reg. No. 28,394

June 11, 2002
GREENBLUM & BERNSTEIN, P.L.C.
1941 Roland Clarke Place
Reston, VA 20191
(703) 716-1191


33,094

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AUG 16 2002
TECHNOLOGY CENTER 1100